

# $H^2(\mathrm{SL}_2(\mathbb{Z}[t, t^{-1}]); \mathbb{Q})$ IS INFINITE-DIMENSIONAL

by

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## ABSTRACT

We construct a map from the 3-skeleton of the classifying space for  $\Gamma = \mathbf{SL}_2(\mathbb{Z}[t, t^{-1}])$  to a Euclidean building on which  $\Gamma$  acts. We then find an infinite family of independent cocycles in the building and lift them to the classifying space, thus proving that the cohomology group  $H^2(\mathbf{SL}_2(\mathbb{Z}[t, t^{-1}]); \mathbb{Q})$  is infinite-dimensional.

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# CHAPTER 1

## INTRODUCTION

In [1], Krstić-McCool prove that  $\Gamma = \mathbf{SL}_2(\mathbb{Z}[t, t^{-1}])$  is not  $F_2$ . In [2], Bux-Wortman use geometric methods to show that  $\Gamma$  is not  $FP_2$ . In particular, they use the action of  $\mathbf{SL}_2(\mathbb{Z}[t, t^{-1}])$  on a product of locally infinite trees. They also ask whether the proof can be extended to show that  $H_2(\mathbf{SL}_2(\mathbb{Z}[t, t^{-1}]); \mathbb{Z})$  is infinitely generated. Knudson proves that this is the case in [3] using algebraic methods.

Our goal is to prove the following closely related theorem:

**Theorem 1**  $H^2(\mathbf{SL}_2(\mathbb{Z}[t, t^{-1}]); \mathbb{Q})$  is infinite-dimensional

The methods used will be geometric. We will define two spaces on which  $\Gamma$  acts, one a Euclidean building and the other a classifying space for  $\Gamma$ . A map between these spaces will allow us to define a family of independent cocycles in  $H^2(\mathbf{SL}_2(\mathbb{Z}[t, t^{-1}]); \mathbb{Q})$ .

The methods used are based on those of Cesa-Kelly in [4], where they are used to show that certain congruence subgroups of  $\mathbf{SL}_n(\mathbb{Z}[t])$  have infinite-dimensional cohomology by using the action of that group on a building.

## CHAPTER 2

### THE EUCLIDEAN BUILDING

We begin by recalling the structure of a Euclidean building that acts on  $\Gamma$ . The following construction uses the notation of Bux-Wortman in [2]. Let  $\nu_\infty$  and  $\nu_0$  be the valuations on  $\mathbb{Q}(t)$  giving multiplicity of zeros at infinity and at zero, respectively. More precisely,  $\nu_\infty\left(\frac{r(t)}{s(t)}\right) = \deg(s(t)) - \deg(r(t))$ , and  $\nu_0\left(\frac{r(t)}{s(t)}t^n\right) = n$ , where  $t$  does not divide the polynomials  $r$  and  $s$ . Let  $T_\infty$  and  $T_0$  be the Bruhat-Tits trees associated to  $\mathbf{SL}_2(\mathbb{Q}(t))$  with the valuations  $\nu_\infty$  and  $\nu_0$ , respectively. Let  $X = T_\infty \times T_0$ .

Since  $\mathbb{Q}((t^{-1}))$  (respectively  $\mathbb{Q}((t))$ ) is the completion of  $\mathbb{Q}(t)$  with respect to  $\nu_\infty$  (resp.  $\nu_0$ ),  $\mathbf{SL}_2(\mathbb{Q}((t^{-1})))$  (resp.  $\mathbf{SL}_2(\mathbb{Q}((t)))$ ) acts on the tree  $T_\infty$  (resp.  $T_0$ ). Therefore the group  $\mathbf{SL}_2(\mathbb{Q}((t^{-1}))) \times \mathbf{SL}_2(\mathbb{Q}((t)))$  acts on  $X$ . Thus  $\mathbf{SL}_2(\mathbb{Q}(t))$  acts on  $X$  via its diagonal embedding into  $\mathbf{SL}_2(\mathbb{Q}((t^{-1}))) \times \mathbf{SL}_2(\mathbb{Q}((t)))$ , as does  $\Gamma < \mathbf{SL}_2(\mathbb{Q}(t))$ .

Let  $L_\infty$  (resp.  $L_0$ ) be the unique geodesic line in  $T_\infty$  (resp.  $T_0$ ) stabilized by the diagonal subgroup of  $\mathbf{SL}_2(\mathbb{Q}(t))$ . Let  $\ell_\infty : \mathbb{R} \rightarrow L_\infty$  (resp.  $\ell_0 : \mathbb{R} \rightarrow L_0$ ) be an isometry with  $\ell_\infty(0)$  (resp.  $\ell_0(0)$ ) the unique vertex stabilized by  $\mathbf{SL}_2(\mathbb{Q}[t^{-1}])$  (resp.  $\mathbf{SL}_2(\mathbb{Q}[t])$ ). Let  $x_0 = (\ell_\infty(0), \ell_0(0))$  serve as a basepoint of  $X$  and  $\Sigma = L_\infty \times L_0$  so that  $\Sigma$  is an apartment of  $X$ .



## CHAPTER 3

### THE ACTION OF $\Gamma$ ON $X$

The goal of this section is to establish certain large-scale features of the action of  $\Gamma$  on  $X$ . In particular, we will find a horoball containing a sequence of points far from  $x_0$  and show that the  $\Gamma$ -translates of this horoball are disjoint. The techniques here are similar to those used by Bux-Wortman in [5].

For certain parts of the proof, it will be convenient to work with  $\Gamma_{\mathbb{Q}} = \mathbf{SL}_2(\mathbb{Q}[t, t^{-1}])$  instead of with  $\Gamma$ . Note that  $\Gamma_{\mathbb{Q}}$  also acts on  $T_{\infty}$  and on  $T_0$  and therefore acts diagonally on  $X$ .

We will also work with stabilizers of horoballs. Define

$$P_S = \left\{ \left( \begin{pmatrix} a & b \\ 0 & a^{-1} \end{pmatrix}, \begin{pmatrix} c & d \\ 0 & c^{-1} \end{pmatrix} \right) \middle| a, b \in \mathbb{Q}((t^{-1})), c, d \in \mathbb{Q}((t)) \right\} \quad (3.1)$$

So that  $P_S < \mathbf{SL}_2(\mathbb{Q}((t^{-1}))) \times \mathbf{SL}_2(\mathbb{Q}((t)))$  stabilizes the horoball in  $T_{\infty} \times T_0$  in which we are interested.

We will also find it useful to define two other subgroups:

$$P = \left\{ \begin{pmatrix} a & b \\ 0 & a^{-1} \end{pmatrix} \middle| a, b \in \mathbb{Q}(t) \right\} \quad (3.2)$$

and

$$U = \left\{ \begin{pmatrix} 1 & x \\ 0 & 1 \end{pmatrix} \middle| x \in \mathbb{Q}[t, t^{-1}] \right\} \quad (3.3)$$

We will refer also to  $U_{\Gamma} = U \cap \Gamma$ . All of these subgroups embed diagonally into  $P_S$ .

**Lemma 2** *The double coset space  $\Gamma_{\mathbb{Q}} \backslash \mathbf{SL}_2(\mathbb{Q}(t)) / P$  is a single point.*

**Proof.** Note first that  $\mathbf{SL}_2(\mathbb{Q}(t))$  acts on  $\mathbb{P}^1(\mathbb{Q}((t)))$  by  $\begin{pmatrix} a & b \\ c & d \end{pmatrix} \begin{bmatrix} x \\ y \end{bmatrix} = \begin{bmatrix} ax + by \\ cx + dy \end{bmatrix}$ . Under this action,  $P$  is the stabilizer of  $\begin{bmatrix} 1 \\ 0 \end{bmatrix}$ , so  $\mathbf{SL}_2(\mathbb{Q}(t)) / P = \mathbb{P}^1(\mathbb{Q}(t))$ . Since  $\Gamma_{\mathbb{Q}}$  acts transitively on  $\mathbb{P}^1(\mathbb{Q}(t))$ ,  $\Gamma_{\mathbb{Q}} \backslash \mathbf{SL}_2(\mathbb{Q}(t)) / P$  is a single point. ■

Let  $\rho : \mathbb{R}_{\geq 0} \rightarrow X$  be the geodesic ray with  $\rho(s) = (\ell_{\infty}(s), \ell_0(s))$ . Let  $\beta_{\rho}$  be the Busemann function for  $\rho$  with  $\beta_{\rho}(x_0) = 0$ . Thus  $\beta_{\rho}^{-1}(\mathbb{R}_{\geq d})$  is a horoball based at  $\rho(\infty)$ , which is stabilized by  $P_S$ .

Let  $x_n = (\ell_\infty(n), \ell_0(n))$ .

**Lemma 3** *For every  $R > 0$ ,*

$$\beta_\rho^{-1}(R) \subset \text{Nbhd}_{2+\frac{\sqrt{2}}{2}}(Px_m) \quad (3.4)$$

where  $m$  is an integer such that  $|R - m| \leq \frac{1}{2}$ .

**Proof.** Let  $y = (\ell_\infty(R), \ell_0(R)) = \beta_\rho^{-1}(R) \cap \rho$  and  $D = \begin{pmatrix} t & 0 \\ 0 & t^{-1} \end{pmatrix}$ .

Since  $D^n \cdot (\ell_\infty(R), \ell_0(R)) = (\ell_\infty(R + 2n), \ell_0(R - 2n))$ , we see that

$$\beta_\rho^{-1}(R) \cap \Sigma \subset \text{Nbhd}_{2\sqrt{2}}(\{D^n\} \cdot y) \quad (3.5)$$

Since  $X = U\Sigma$  and  $U$  preserves  $\beta_\rho^{-1}(R)$ ,

$$\beta_\rho^{-1}(R) \subset \text{Nbhd}_{\sqrt{2}}(U\{D^n\} \cdot y) \subset \text{Nbhd}_{\sqrt{2}}(P \cdot y) \quad (3.6)$$

Since  $d(x_m, y) < \frac{\sqrt{2}}{2}$ , this gives the desired inclusion

$$\beta_\rho^{-1}(R) \subset \text{Nbhd}_{\sqrt{2}+\frac{\sqrt{2}}{2}}(P \cdot x_m) \quad (3.7)$$

■

**Lemma 4** *For every  $C > 0$ , there is some  $N \in \mathbb{N}$  such that  $d(x_n, \Gamma x_0) > C$  for every  $n > N$ .*

**Proof.** The following proof is closely based on the proof of Lemma 2.2 by Bux-Wortman in [2].

We will show that any subsequence of  $\{x_{2n}\}$  is unbounded in the quotient space  $\Gamma \backslash X$ . This implies that only a finite number of points of  $\{x_{2n}\}$  can be contained in any neighborhood of  $\Gamma x_0$ . Let  $C \in \mathbb{R}$ . Since a finite number of points of  $\{x_{2n}\}$  are contained in  $\text{Nbhd}_{C+\sqrt{2}}(\Gamma x_0)$  and  $d(x_{2n}, x_{2n+1}) = \sqrt{2}$ , a finite number of points of  $\{x_{2n+1}\}$  are contained in  $\text{Nbhd}_C(\Gamma x_0)$ . This suffices to prove the lemma.

The group  $\mathbf{SL}_2(\mathbb{Q}(t)) \times \mathbf{SL}_2(\mathbb{Q}(t))$  acts componentwise on  $X$ , and has a metric induced by the valuations  $v_\infty$  and  $v_0$ . Under this metric the stabilizer of  $x_0$  is a bounded subgroup. Thus, to prove that a set of vertices in  $\Gamma \backslash X$  is not bounded, it suffices to prove that it has unbounded preimage under the projection

$$\Gamma \backslash \mathbf{SL}_2(\mathbb{Q}(t)) \times \mathbf{SL}_2(\mathbb{Q}(t)) \rightarrow \Gamma \backslash X \quad (3.8)$$

given by  $\Gamma g \mapsto \Gamma g x_0$ , where  $\Gamma$  is embedded diagonally into  $\mathbf{SL}_2(\mathbb{Q}(t)) \times \mathbf{SL}_2(\mathbb{Q}(t))$ .

Let  $D = \begin{pmatrix} t & 0 \\ 0 & t^{-1} \end{pmatrix}$  and  $A = (D, D^{-1}) \in \mathbf{SL}_2(\mathbb{Q}(t)) \times \mathbf{SL}_2(\mathbb{Q}(t))$ . Then  $A^n x_0 = x_{2n}$ . It therefore suffices to prove that any infinite subset of  $\{\Gamma A^n\}$  is unbounded in  $\Gamma \backslash \mathbf{SL}_2(\mathbb{Q}(t)) \times \mathbf{SL}_2(\mathbb{Q}(t))$ .

Assume that this is not the case. That is, assume that there is some infinite subset  $I \subset \mathbb{N}$  such that  $\{\Gamma A^i\}_{i \in I}$  is bounded. Then there is some  $C$  such that for every  $i \in I$  there is some  $M_i = \begin{pmatrix} a_i & b_i \\ c_i & d_i \end{pmatrix} \in \Gamma$  such that the values of  $v_\infty$  of the coefficients of  $M_i D^i$  are bounded from below by  $C$  and the values of  $v_0$  of the coefficients of  $M_i D^{-i}$  are also bounded from below by  $C$ . Then

$$C \leq v_\infty(a_n t^n) = v_\infty(a_n) + n v_\infty(t) = v_\infty(a_n) - n \quad (3.9)$$

and

$$C \leq v_0(a_n t^{-n}) = v_0(a_n) - n v_0(t) = v_0(a_n) - n \quad (3.10)$$

This gives that  $v_\infty(a_n) \geq 1$  and  $v_0(a_n) \geq 1$  whenever  $n \geq 1 - C$ , which implies that  $a_n = 0$ .

The same argument shows that  $c_n = 0$ , which implies that  $M_i$  is not in  $\Gamma$  when  $i \geq 1 - C$ . ■

**Lemma 5** *There is some  $R > 0$  such that  $\Gamma_{\mathbb{Q}} \cdot x_0 \cap \beta_\rho^{-1}([R, \infty)) = \emptyset$ .*

**Proof.** Since  $\beta_\rho^{-1}([R, \infty))$  is a union of the horospheres  $\beta_\rho^{-1}(r)$  for  $r \in [R, \infty)$ , Lemma 3 guarantees that

$$\beta_\rho^{-1}([R, \infty)) \subset \bigcup_{\{m \in \mathbb{Z} \mid m > R - \frac{1}{2}\}} \text{Nbhd}_{\sqrt{2} + \frac{\sqrt{2}}{2}}(P \cdot x_m) \quad (3.11)$$

Recall that  $d(x_m, \Gamma_{\mathbb{Q}} \cdot x_0) > \sqrt{2} + \frac{\sqrt{2}}{2}$  for large values of  $R$ . This implies that  $d(\Gamma_{\mathbb{Q}} \cdot x_m, \Gamma_{\mathbb{Q}} \cdot x_0) > \sqrt{2} + \frac{\sqrt{2}}{2}$ . Thus, we may conclude that  $\Gamma_{\mathbb{Q}} \cdot x_0 \cap \beta_\rho^{-1}([R, \infty)) = \emptyset$ . ■

Fix  $R$  as in Lemma 5. Let  $d$  be the maximum distance from a point in the horosphere  $\beta_\rho^{-1}(R)$  to the orbit  $\Gamma_{\mathbb{Q}} \cdot x_0$ .

**Lemma 6**  *$d$  is finite.*

**Proof.** By Lemma 3,  $\beta_\rho^{-1}(R) \subset \text{Nbhd}_r(Px_n)$  for some  $n > 0$ , where  $r = 2 + \frac{\sqrt{2}}{2}$ . Thus for every  $x \in \beta_\rho^{-1}(R)$  there is some  $p \in P$  such that  $d(x, p \cdot x_n) < r$ . Since  $d(p \cdot x_n, p \cdot x_0) = d(x_n, x_0) = n\sqrt{2}$ , we have  $d(x, p \cdot x_0) \leq r + n\sqrt{2}$ . Since this bound is independent of  $x$ , it follows that  $d \leq r + n\sqrt{2}$ . ■

Let  $H = \beta_\rho^{-1}([R + d, \infty))$ .

**Lemma 7** *Let  $\gamma \in \Gamma_{\mathbb{Q}}$ . If  $\gamma H \cap H \neq \emptyset$ , then  $\gamma H = H$  and  $\gamma \in P_S \cap \Gamma_{\mathbb{Q}}$ .*

**Proof.** Let  $\Sigma \subset X$  be an apartment whose boundary contains the chamber  $C$  of  $\partial X$  containing the point  $\rho(\infty)$  as well as the chamber  $\gamma C$ . The endpoints of the arc  $C$  are  $\ell_\infty(\infty)$  and  $\ell_0(\infty)$ . Thus, any chamber adjacent to  $C$  contains one of these two points. Because  $\text{Stab}_\Gamma(\ell_\infty(\infty)) = \text{Stab}_\Gamma(\ell_0(\infty)) < P$ , any element of  $\Gamma_\mathbb{Q}$  either stabilizes both  $\ell_\infty(\infty)$  and  $\ell_0(\infty)$  or neither. Therefore,  $C$  and  $\gamma C$  cannot be adjacent. Since each apartment of  $\partial X$  contains exactly four chambers, the two chambers are either equal or opposite.

If  $C$  and  $\gamma C$  are opposite, then  $\Sigma \cap H \cap \gamma H$  is contained in a neighborhood of a hyperplane in  $\Sigma$ . Suppose  $x \in \Sigma \cap \gamma H \cap \beta_\rho^{-1}(R)$ . It follows from the choice of  $d$  that there is some  $y \in \Gamma_\mathbb{Q} \cdot x_0$  such that  $d(x, y) \leq d$ . Since  $\beta_{\gamma\rho}(x) \geq R + d$ , it is clear that  $\beta_{\gamma\rho}(y) \geq R$ . Therefore  $\beta_\rho(\gamma^{-1}y) \geq R$ , which contradicts Lemma 5, since  $\gamma^{-1}y \in \Gamma_\mathbb{Q} \cdot x_0$ . Thus  $\gamma C = C$ , which implies that  $\gamma \in P_S$ . It follows that  $H$  and  $\gamma H$  are horoballs based at the same boundary point. Since  $\gamma$  preserves distance from  $\Gamma_\mathbb{Q} \cdot x_0$ ,  $\gamma H = H$ . ■

## CHAPTER 4

### THE CLASSIFYING SPACE

We will now construct a second space on which  $\Gamma$  acts geometrically and freely. A map between the two spaces will allow us to think about the cohomology of  $\Gamma$  in the familiar context of a product of trees.

Let  $X_0$  be a discrete collection of points  $\{x_\gamma | \gamma \in \Gamma\}$ .  $\Gamma$  acts freely on this set by  $\gamma' \cdot x_\gamma = x_{\gamma'\gamma}$ . Define a  $\Gamma$ -equivariant map  $\psi_0 : X_0 \rightarrow X$  by  $\psi_0(x_\gamma) = \gamma \cdot x_0$ . By Lemma 5,  $\psi_0(X_0) \cap H = \emptyset$ . Note also that  $\psi_0(X_0) \cap \gamma H = \emptyset$  for every  $\gamma \in \Gamma$ .

Construct  $X_1$  from  $X_0$  by attaching a 1-cell  $F_{x_\gamma, x_{\gamma'}}$  of length 1 between a pair of points  $x_\gamma, x_{\gamma'} \in X_0$ . For  $\zeta \in \Gamma$ , let  $\zeta : F_{x_\gamma, x_{\gamma'}} \rightarrow F_{x_{\zeta\gamma}, x_{\zeta\gamma'}}$  be the unique distance-preserving map with  $\zeta(x_\gamma) = \zeta(x_\gamma)$  and  $\zeta(x_{\gamma'}) = \zeta(x_{\gamma'})$ . This defines a  $\Gamma$ -action on  $X_1$  that extends the  $\Gamma$ -action on  $X_0$ .

We wish to define a  $\Gamma$ -equivariant map  $\psi_1 : X_1 \rightarrow X$  extending  $\psi_0$  and with

$$\psi_1(X_1) \subset X - \bigcup_{\gamma \in \Gamma} \gamma H \quad (4.1)$$

For every nonidentity element  $\gamma \in \Gamma$ , choose a path  $c_\gamma$  from  $x_0$  to  $\gamma x_0$ . Since  $\partial(\gamma H)$  is connected for all  $\gamma \in \Gamma$ , we can choose all such paths to lie outside of  $\bigcup_{\gamma \in \Gamma} \gamma H$ . We may then define  $\psi_1(F_{x_\gamma, x_{\gamma'}})$  to lie along the path  $\gamma c_{(\gamma^{-1}\gamma')}$ .

We will build a family of spaces  $X_n$  inductively. Beginning with an  $n$ -dimensional,  $(n-1)$ -connected cell complex  $X_n$  on which  $\Gamma$  acts freely and a  $\Gamma$ -equivariant map  $\psi_n : X_n \rightarrow X$ , we may construct an  $n+1$ -dimensional,  $n$ -connected cell complex  $X_{n+1}$  on which  $\Gamma$  acts freely and a  $\Gamma$ -equivariant map  $\psi_{n+1} : X_{n+1} \rightarrow X$ . For every map  $s : S^n \rightarrow X_n$ , attach an  $(n+1)$ -cell  $F_s$  along  $\gamma s$  for every  $\gamma \in \Gamma$ . This results in an  $n$ -connected space  $X_{n+1}$ . Define a  $\Gamma$ -action on  $X_{n+1}$  by  $\gamma F_s = F_{\gamma s}$ . For each  $s$  as above, define  $\psi_{n+1,s} : F_s \rightarrow X$  to extend  $\psi_n(\partial F_s)$  with  $\psi_{n+1,\gamma s} = \gamma \psi_{n+1,s}$ . Because  $\pi_{n+1}(X)$  is trivial for all  $n$ , this is always possible. There is a unique  $\Gamma$ -equivariant map  $\psi_{n+1}$  extending these maps.

Since the focus of Theorem 1 is second-dimensional cohomology, we will use the space  $X_3$  extensively. Therefore we will let  $\psi = \psi_3$ . Since  $\Gamma \backslash X_3$  is the 3-skeleton of a  $K(\Gamma, 1)$ ,  $H^2(\Gamma; \mathbb{Q}) = H^2(X_3; \mathbb{Q})$ .

## CHAPTER 5

### LOCAL COHOMOLOGY

Before defining cocycles on  $\Gamma \backslash X_3$ , we will define cocycles in the relative homology groups of several subspaces of  $X$ .

Recall that  $x_n = (\ell_\infty(n), \ell_0(n))$ . We will assume from now on that  $n > R + d$  so that  $x_n \in H$ . Recall also

$$U = \left\{ \begin{pmatrix} 1 & x \\ 0 & 1 \end{pmatrix} \middle| x \in \mathbb{Q}[t, t^{-1}] \right\} \quad (5.1)$$

We will regard  $U$  as a diagonally embedded subgroup of  $\Gamma_{\mathbb{Q}} \times \Gamma_{\mathbb{Q}}$ .

We define two submodules of  $\mathbb{Q}[t, t^{-1}]$ :

$$M_n = \left\{ x \in \mathbb{Q}[t, t^{-1}] \middle| x = \sum_{i=-n}^n a_i t^i \right\} \quad (5.2)$$

$$M^n = \left\{ x \in \mathbb{Q}[t, t^{-1}] \middle| x = \sum_{i=-k}^{-n-1} a_i t^i + \sum_{i=n+1}^k a_i t^i \right\} \quad (5.3)$$

We also define corresponding normal subgroups of  $U$ :

$$U_n = \left\{ \begin{pmatrix} 1 & x \\ 0 & 1 \end{pmatrix} \middle| x \in M_n \right\} \quad (5.4)$$

$$U^n = \left\{ \begin{pmatrix} 1 & x \\ 0 & 1 \end{pmatrix} \middle| x \in M^n \right\} \quad (5.5)$$

Note that  $U = U_n \times U^n$ . Since the elements of  $M_n$  are precisely those elements  $x$  of  $\mathbb{Q}[t, t^{-1}]$  with  $\nu_0 \geq -n$  and  $\nu_\infty \geq -n$ ,  $U_n$  is the stabilizer in  $U$  of  $x_n$ .

Let  $S_n$  be the star of  $x_n$  in  $X$  (that is, the collection of cells having  $x_n$  as a vertex) and  $C$  the cell in  $S_n$  containing  $x_{n-1}$ . Let  $S_n^\downarrow = U_n C$ . We will define cocycles  $\varphi_n \in H^2(S_n^\downarrow, S_n^\downarrow \cap \partial S_n; \mathbb{Q})$ . Averaging these over cosets of  $U_\Gamma$  in  $\Gamma$  will give us cocycles in  $H^2(\mathbf{SL}_2(\mathbb{Z}[t, t^{-1}]); \mathbb{Q})$ , which we will use to prove Theorem 1.

**Lemma 8**  $\left\{ \begin{pmatrix} 1 & at^{-n} + bt^n \\ 0 & 1 \end{pmatrix} \middle| a, b \in \mathbb{Q} \right\} < U_n$  acts transitively on the set of 2-cells in  $S_n^\downarrow$ .

**Proof.** Since  $U_n$  fixes  $x_n$  and preserves the Busemann function, it will act on  $S_n^\downarrow$ . In particular, we will find subsets of  $U_n$  that act transitively on the sets

$$E = \{\ell_\infty(n) \times e \mid e \subset T_0 \text{ an edge}, \ell_0(n) \in e, \ell_0(n+1) \notin e\} \quad (5.6)$$

and

$$F = \{e \times \ell_0(n) \mid e \subset T_\infty \text{ an edge}, \ell_\infty(n) \in e, \ell_\infty(n+1) \notin e\} \quad (5.7)$$

Let

$$U_n^E = \left\{ \begin{pmatrix} 1 & at^{-n} \\ 0 & 1 \end{pmatrix} \middle| a \in \mathbb{Q} \right\} \quad (5.8)$$

and

$$U_n^F = \left\{ \begin{pmatrix} 1 & bt^n \\ 0 & 1 \end{pmatrix} \middle| b \in \mathbb{Q} \right\} \quad (5.9)$$

$U_n^E$  acts transitively on the set of edges in  $T_0$  incident on  $\ell_0(n)$  and not on  $\ell_0(n+1)$ . Since  $U_n^E$  stabilizes  $x_n$ , it acts transitively on  $E$ . Denote by  $e_0$  the edge in  $E$  between  $x_n$  and  $(\ell_\infty(n), \ell_0(n-1))$ . Let  $e_a = \begin{pmatrix} 1 & at^{-n} \\ 0 & 1 \end{pmatrix} e_0$  for each  $a \in \mathbb{Q}$ .

$U_n^F$  acts transitively on the set of edges in  $T_\infty$  incident on  $\ell_\infty(n)$  and not on  $\ell_\infty(n+1)$ . Since  $U_n^F$  stabilizes  $x_n$ , it acts transitively on  $F$ . Denote by  $f_0$  the edge in  $F$  between  $x_n$  and  $(\ell_\infty(n-1), \ell_0(n))$ . Let  $f_b = \begin{pmatrix} 1 & bt^n \\ 0 & 1 \end{pmatrix} f_0$  for each  $b \in \mathbb{Q}$ .

Since any 2-cell in  $S_n^\downarrow$  contains a unique pair of edges  $e_a$  and  $f_b$  in its boundary,

$$U_n^E \times U_n^F = \left\{ \begin{pmatrix} 1 & at^{-n} + bt^n \\ 0 & 1 \end{pmatrix} \middle| a, b \in \mathbb{Q} \right\} \quad (5.10)$$

acts transitively on the set of 2-cells in  $S_n^\downarrow$  as well as on  $E$  and  $F$ . ■

Let  $C_{0,0}$  be the 2-cell in  $S_n^\downarrow$  containing  $x_{n-1}$ , and let  $C_{a,b} = \begin{pmatrix} 1 & at^{-n} + bt^n \\ 0 & 1 \end{pmatrix} C_{0,0}$ . Orient each edge  $e_a$  and  $f_b$  with initial vertex  $x_n$ . We may then choose an orientation for each  $C_{a,b}$  such that we have  $\partial C_{a,b} = e_a - f_b + D$  for some chain  $D \subset S_n^\downarrow \cap \partial S_n$ .

Define a cochain  $\varphi_n$  by  $\varphi_n(C_{a,b}) = ab$ . Since  $X$  is 2-dimensional, any 2-cochain is a cocycle.

We will define cocycles in  $H^2(\Gamma \backslash X_3; \mathbb{Q})$  as sums of the  $\varphi_n$ 's. The following lemma will be used in proving that these cocycles are well-defined.

**Lemma 9**  $\varphi_n$  is  $U_n$ -invariant.

**Proof.** Let a *basic cycle* in  $S_n^\downarrow$  be one of the form  $C_{x,y} - C_{x',y} - C_{x,y'} + C_{x',y'}$ . We will show first that  $\varphi_n$  is  $U_n$ -invariant on basic cycles, then show that any cycle is a sum of basic cycles.



Let

$$u = \begin{pmatrix} 1 & \sum_{i=-n}^n a_i t^i \\ 0 & 1 \end{pmatrix} \in U_n \quad (5.11)$$

and  $D$  be a basic cycle.

$$\varphi_n(uD) = \varphi_n(u(C_{x,y} - C_{x',y} - C_{x,y'} + C_{x',y'})) \quad (5.12)$$

$$= \varphi_n(uC_{x,y} - uC_{x',y} - uC_{x,y'} + uC_{x',y'}) \quad (5.13)$$

$$= \varphi_n(C_{x+a_{-n},y+a_n} - C_{x'+a_{-n},y+a_n} - C_{x+a_{-n},y'+a_n} + C_{x'+a_{-n},y'+a_n}) \quad (5.14)$$

$$= (x + a_{-n})(y + a_n) - (x' + a_{-n})(y + a_n) - (x + a_{-n})(y' + a_n) \quad (5.15)$$

$$+ (x' + a_{-n})(y' + a_n) \quad (5.16)$$

$$= xy + x'y' - xy' - x'y \quad (5.17)$$

$$= \varphi_n(D) \quad (5.18)$$

Thus  $\varphi_n$  is  $U_n$ -invariant on basic cycles.

It remains to show that all cycles are sums of basic cycles. Define the length  $l$  of a chain in  $H_2(S_n^\downarrow, S_n^\downarrow \cap \partial S_n)$  by

$$l \left( \sum_{i,j \in \mathbb{Z}} \alpha_{i,j} C_{i,j} \right) = \sum_{i,j \in \mathbb{Z}} |\alpha_{i,j}| \quad (5.19)$$

Suppose there are cycles which are not sums of basic cycles. Let  $B = \sum_{i,j \in \mathbb{Z}} \alpha_{i,j} C_{i,j}$  be such a cycle with the property that  $l(B) \leq l(B')$ , where  $B'$  is any other such cycle. Let  $C_{x,y}$  be a 2-cell such that  $\alpha_{x,y} > 0$ . Since  $B$  is a cycle,  $\partial B = 0$ ; therefore there are some  $x', y' \in \mathbb{Z}$  such that  $\alpha_{x',y}, \alpha_{x,y'} < 0$ . Then

$$l(B - (C_{x,y} - C_{x',y} - C_{x,y'} + C_{x',y'})) \leq l(B) - 2 \quad (5.20)$$

Since this cycle differs from  $B$  by a basic cycle, it cannot be written as a sum of basic cycles, contradicting the assumption that  $B$  is the shortest cycle with that property. ■

## CHAPTER 6

### COHOMOLOGY

We now wish to define cocycles in  $H^2(\Gamma \backslash X_3; \mathbb{Q})$  by averaging  $\varphi_n$  over cosets of  $U_\Gamma$  in  $\Gamma$ .

Let  $Y_n = U_n \Sigma$ . Since  $Y_n$  is a fundamental domain for the action of  $U^n$  on  $X$ , we may identify it with the quotient  $U^n \backslash X$ . Let  $\theta_n : X \rightarrow Y_n$  be the quotient map. Note that  $\theta_n$  is  $U_n$ -equivariant.

We may now define  $\Phi_n \in H^2(\Gamma \backslash X_3; \mathbb{Q})$  by

$$\Phi_n(\Gamma D) = \sum_{gU_\Gamma \in \Gamma/U_\Gamma} \varphi_n(\theta_n(\psi(g^{-1}D)) \cap S_n^\downarrow) \quad (6.1)$$

for any 2-cell  $D$  in  $X_3$ . The next several lemmas show that  $\Phi_n$  is well-defined, a cocycle, and  $U_n$ -invariant.

**Lemma 10**  *$\Phi_n$  is well-defined. In particular, it is independent of the choice of coset representatives in  $\Gamma D$  and  $gU_\Gamma$ .*

**Proof.** Let  $u \in U_\Gamma$ ,  $u^{-1} = u^n u_n$  for  $u^n \in U^n \cap \Gamma$  and  $u_n \in U_n \cap \Gamma$ . Then

$$\varphi_n(\theta_n(\psi((gu)^{-1}D)) \cap S_n^\downarrow) = \varphi_n(\theta_n(\psi(u^{-1}g^{-1}D)) \cap S_n^\downarrow) \quad (6.2)$$

$$= \varphi_n(\theta_n(u^{-1}\psi(g^{-1}D)) \cap S_n^\downarrow) \quad (6.3)$$

$$= \varphi_n(\theta_n(u^n u_n \psi(g^{-1}D)) \cap S_n^\downarrow) \quad (6.4)$$

$$= \varphi_n(\theta_n(u_n \psi(g^{-1}D)) \cap S_n^\downarrow) \quad (6.5)$$

$$= \varphi_n(u_n \theta_n(\psi(g^{-1}D)) \cap S_n^\downarrow) \quad (6.6)$$

$$= \varphi_n(\theta_n(\psi(g^{-1}D)) \cap S_n^\downarrow) \quad (6.7)$$

So  $\Phi_n$  is independent of coset representative in  $gU_\Gamma$ .

Let  $\gamma \in \Gamma$ . Then

$$\Phi_n(\Gamma(\gamma D)) = \sum_{gU_\Gamma \in \Gamma/U_\Gamma} \varphi_n(\theta_n(\psi(g^{-1}\gamma D) \cap S_n^\perp)) \quad (6.8)$$

$$= \sum_{gU_\Gamma \in \Gamma/U_\Gamma} \varphi_n(\theta_n(\psi((\gamma^{-1}g)^{-1}D) \cap S_n^\perp)) \quad (6.9)$$

$$= \sum_{\gamma^{-1}gU_\Gamma \in \Gamma/U_\Gamma} \varphi_n(\theta_n(\psi((\gamma^{-1}g)^{-1}D) \cap S_n^\perp)) \quad (6.10)$$

$$= \sum_{gU_\Gamma \in \Gamma/U_\Gamma} \varphi_n(\theta_n(\psi(g^{-1}D) \cap S_n^\perp)) \quad (6.11)$$

$$= \Phi_n(\Gamma D) \quad (6.12)$$

Therefore  $\Phi_n$  is also independent of coset representative in  $\Gamma D$ . ■

**Lemma 11**  $\Phi_n$  is a cocycle.

**Proof.** Let  $\Gamma D$  be a 3-cell in  $\Gamma \backslash X_3$  corresponding to the 3-cell  $D$  in  $X_3$ . Then  $\partial D$  is a 2-sphere. Since  $X$  contains no nontrivial 2-spheres,  $\psi(\partial D)$  is trivial. Thus,

$$\varphi_n(\theta_n(\psi(g^{-1}\partial D) \cap S_n^\perp)) = 0 \quad (6.13)$$

for any  $g \in \Gamma$  and  $\Phi_n(\Gamma D) = 0$ . ■

**Lemma 12**  $\Phi_n$  is  $U_n$ -invariant.

**Proof.** It suffices to show that  $\theta_n\psi(D) \cap S_n$  is supported on  $S_n^\perp$  for every disk  $D$  in  $X_3$  since every  $\varphi_n$  is  $U_n$ -invariant.

Let  $H_n = \beta_\rho^{-1}([\beta_\rho(x_n), \infty))$ . Since  $H_n \subset H$ , the definition of  $\psi$  implies that  $\psi(X_1) \cap H_n = \emptyset$ . Thus,  $\partial\psi(D)$  is entirely outside of  $H_n$ . Since  $U^n$  preserves  $H_n$ , we may also conclude that  $\partial\theta_n(\psi(D))$  is outside  $\theta_n(H_n)$ .

Suppose there is some cell  $C_0 \subset \text{Supp}(\theta_n(\psi(D)))$  with  $C_0 \subset S_n$  and  $C_0 \not\subset S_n^\perp$ . We will find an infinite family of cells which must also be contained in  $\text{Supp}(\theta_n(\psi(D)))$ , contradicting the compactness of  $\theta_n(\psi(D))$ .

Since  $U_n\Sigma = Y_n$  and  $S_n^\perp$  is  $U_n$ -invariant, we may assume without loss of generality that  $C_0 \subset \Sigma$ . Then  $C_0$  is one of  $\ell_\infty([n-1, n]) \times \ell_0([n, n+1])$ ,  $\ell_\infty([n, n+1]) \times \ell_0([n-1, n])$ , or  $\ell_\infty([n, n+1]) \times \ell_0([n, n+1])$ . We will examine in detail the case where  $C_0 = \ell_\infty([n-1, n]) \times \ell_0([n, n+1])$ . The others are parallel.

Let  $C_i = \ell_\infty([n-1, n]) \times \ell_0([n+i, n+i+1])$  and  $e_i = \ell_\infty([n-1, n]) \times \ell_0(n+i)$  so that  $C_i, e_i \subset \Sigma$  with  $C_{i-1}$  and  $C_i$  adjacent along  $e_i$ . We will show by induction that all  $C_i$  are in  $\text{Supp}(\theta_n(\psi(D)))$ .

Since  $e_i \subset H_n$ , it is clear that  $e_i \not\subset \partial\theta_n(\psi(D))$ . This if  $\text{Supp}(\theta_n(\psi(D)))$  contains  $C_{i-1}$ , it must also contain some other cell with  $e_i$  in its boundary. Such a cell must be of the form  $\ell_\infty([n-1, n]) \times e_i^0$  for some edge  $e_i^0 \subset T_0$  with  $\ell_0(n+i)$  one endpoint. Since the action of  $U_n$  on  $T_0$  fixes all edges incident to  $\ell_0(n+i)$ , the only cells of this form in  $U_n\Sigma$  are the ones in  $\Sigma$  itself:  $C_{i-1}$  and  $C_i$ . Thus  $C_i \subset \text{Supp}(\theta_n(\psi(D)))$ .

This shows that  $\text{Supp}(\theta_n(\psi(D)))$  contains infinitely many cells and is not compact.  $\blacksquare$

Now that we have defined a family of cocycles, it remains to show that they are independent. We will do this by exhibiting a family of cycles  $\widetilde{B_{2n}}$  such that  $\Phi_{2n}(\Gamma\widetilde{B_{2n}}) = 1$  and  $\Phi_k(\Gamma\widetilde{B_{2n}}) = 0$  for  $k > 2n$ .

Let  $F_{2n}$  be the traingle in  $\Sigma$  with vertices  $x_n$ ,  $(\ell_\infty(n), \ell_0(-n))$ , and  $(\ell_\infty(-n), \ell_0(n))$ . Let

$$B_{2n} = F_{2n} - \begin{pmatrix} 1 & t^{2n} \\ 0 & 1 \end{pmatrix} F_{2n} - \begin{pmatrix} 1 & t^{-2n} \\ 0 & 1 \end{pmatrix} F_{2n} + \begin{pmatrix} 1 & t^{-2n} + t^{2n} \\ 0 & 1 \end{pmatrix} F_{2n}$$

Then  $B_{2n}$  is a square with  $B_{2n} \cap S_{2n}^\downarrow = C_{0,0} - C_{1,0} - C_{0,1} + C_{1,1}$ .

**Lemma 13** *For every  $n \in \mathbb{N}$ , there is some disc  $\widetilde{B_{2n}} \subset X_3$  such that  $\psi(\widetilde{B_{2n}}) \cap H = B_{2n}$ .*

**Proof.** Letting  $u = \begin{pmatrix} 1 & t^{-2n} + t^{2n} \\ 0 & 1 \end{pmatrix}$ , the vertices of  $B_{2n}$  are  $A^n x_0$ ,  $A^{-n} x_0$ ,  $uA^n x_0$ , and  $uA^{-n} x_0$ . In particular, these four vertices are in  $\Gamma x_0$  and therefore in  $\psi(X_0)$ . Let  $v_i$  and  $v_j$  be two vertices on an edge of the square, with  $\tilde{v}_i \in \psi^{-1}(v_i)$  and  $\tilde{v}_j \in \psi^{-1}(v_j)$ . Since  $X_3$  is connected, there is a path  $\tilde{c}$  between  $\tilde{v}_i$  and  $\tilde{v}_j$ . Thus  $c_{i,j} = \psi(\tilde{c})$  is a path connecting  $v_i$  and  $v_j$ . By the definition of  $\psi$ ,  $c_{i,j}$  is disjoint from  $H$ . Repeating this for all four edges gives a path  $c$  in  $X$  which bounds a disk  $B'_{2n}$  such that  $B_{2n} \cap H = B'_{2n} \cap H$  and is the image of a path  $\tilde{c}$  in  $X_3$ . Since  $X_3$  is 2-connected, there is a disk  $\widetilde{B_{2n}}$  that fills  $\tilde{c}$ , and  $\psi(\widetilde{B_{2n}}) = B'_{2n}$ .  $\blacksquare$

**Lemma 14**  $\Phi_{2n}(\Gamma\widetilde{B_n}) = 1$  and  $\Phi_k(\Gamma\widetilde{B_{2n}}) = 0$  for  $k > 2n$ .

**Proof.** For  $2n > R + d$ ,  $S_{2n}^\downarrow \subset H$ . Since  $P_S \cap \Gamma$ , the stabilizer of  $H$  in  $\Gamma$ , preserves distance from the horoball  $\beta_p^{-1}(0)$ ,  $\varphi_k(pB_{2n}) = 0$  for  $p \in P_S \cap \Gamma$ . For any  $\gamma \in \Gamma - P_S$ , we know  $\gamma H \cap H = \emptyset$ , so  $\varphi_k(\gamma B_{2n}) = 0$  for any  $k$  and  $n$ . Thus  $\Phi_k(\Gamma\widetilde{B_{2n}}) = 0$  for  $k > 2n$ .

By the definition of  $\varphi_n$ ,  $\varphi_{2n}(B_{2n}) = 1$ . As above,  $\varphi_{2n}(\gamma B_{2n}) = 0$  for any  $\gamma \in \Gamma - U_\Gamma$ . Therefore  $\Phi_{2n}(\Gamma\widetilde{B_{2n}}) = 1$ .  $\blacksquare$

Thus, for any  $k$  the set of cocycles  $\{\Phi_{R+d+2}, \Phi_{R+d+4}, \dots, \Phi_{R+d+2k}\}$  is independent, which suffices to prove Theorem 1.

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